ON THE IMPLEMENTATION OF FINITE STATE MACHINES

JILLES VAN GURP & JAN BOSCH

University of Karlskrona/Ronneby
Department of Software Engineering and Computer Science
Soft Center, S-372 25 Ronneby
+46 457-385000
[Jilles.van.Gurp|Jan.Bosch]@ipd.hk-r.se
http://www.ipd.hk-r.se/[jvg|bosch]

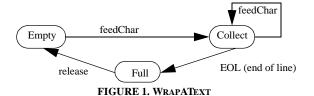
Abstract. Finite State Machines (FSM) provide a powerful way to describe dynamic behavior of systems and components. However, the implementation of FSMs in OO languages, often suffers from maintenance problems. The State pattern described in [1] that is commonly used to implement FSMs in OO languages, also suffers from these problems. To address this issue we present an alternative approach. In addition to that a blackbox framework is presented that implements this approach. In addition to that a tool is presented that automates the configuration of our framework. The tool effectively enables developers to create FSMs from a specification.

Keywords. Finite State Machines, State pattern, Implementation issues, Blackbox frameworks

1 INTRODUCTION

Finite State Machines (FSM) are used to describe reactive systems [2]. A common example of such systems are communication protocols. FSMs are also used in OO modeling methods such as UML and OMT. Over the past few years, the need for executable specifications has increased [3]. The traditional way of implementing FSMs does not match the FSM paradigm very much, however, thus making executable specifications very hard. In this paper the following definition of a State machine will be used: A State machine consists of states, events, transitions and actions. Each State has a (possibly empty) State-entry and a State exit action that is executed upon State entry or State exit respectively. A transition has a source and a target State and is performed when the State machine is in the source State and the event associated with the transition occurs. For a transition t for event e between State A and State B, executing transition t (assuming the FSM is in State A and e occurred) would mean: (1) execute the exit action of State A, (2) execute the action associated with t, (3) execute the entry action of State B and (4) set State B as the current state.

Mostly the State pattern [1] or a variant of this pattern is used to implement FSMs in OO languages like Java and C++. The State pattern has its limitations when it comes to maintenance, though. Also there are two other issues (FSM instantiation and data management) that have to be dealt with. In this paper we examine these problems and provide a solution that addresses these issues. Also we present a framework that implements this solution and a tool that allows developers to generate a FSM from a specification.



As a running example we will use a simple FSM called WrapAText (see figure 1). The purpose of this FSM is to insert a newline in a text after each 80 characters. To do so, it has three states to represent a line of text. In the Empty State, the FSM waits for characters to be put into the FSM. Once a character is received, it moves to the Collect State where it waits for more characters. If 80 characters have been received it moves to the Full State. The line is printed on the standard output and the FSM moves back to the Empty State for the next line of text. The remainder of this paper is organized as follows: In section 2 issues with the State pattern are discussed. In section 3, a solution is described for these issues and our framework, that implements the solution, is presented. A tool for configuring our framework is presented in section 4. In section 5 assessments are made about our framework. Related work is presented in section 6. And we conclude our paper in section

2 THE STATE PATTERN

In procedural languages, FSMs are usually implemented using case statements. Due to maintenance issues with using case statements, however, we will not consider this type of implementation. By using object orientation, the use of case-statements can be avoided through the use of dynamic binding. Usually some form of the State pattern is used to model a finite State machine (FSM) [1]. Each time case statements are used in a procedural language, the State pattern can be used to solve the same problem in an OO language. Each case becomes a State class and the correct case is selected by looking at the current state-object. Each State is represented as a separate class. All those State-classes inherit from a State-class. In figure 3 this situation is shown for the WrapAText example. The Context offers an API that has a method for each event in the FSM. Instead of implementing the method the Context delegates the method to a State class. For each State a subclass of this State class exists. The context also holds references to variables that need to be shared among the different State objects. At run-time Context objects have a reference to the current State (an instance of a State

299-026 -1-

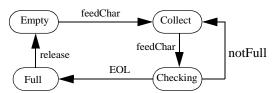


FIGURE 2. THE CHANGED WRAPATEXT FSM

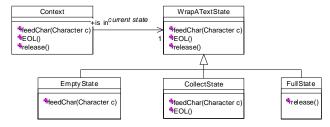


FIGURE 3. THE STATE-PATTERN.

subclass). In the WrapAText example, the default State is Empty so when the system is started Context will refer to an object of the class EmptyState. The feedChar event is delivered to the State machine by calling a method called feedChar on the context. The context delegates this call to its current State object (EmptyState). The feedChar method in this object implements the State transition from Empty to Collect. When it is executed it changes the current State to CollectState in the Context.

We have studied ways of implementing FSMs in OO languages and identified three issues that we believe should be addressed: (1) Evolution of FSM implementations. We found that the structure of a FSM tends to change over time and that implementing those changes is difficult using existing FSM implementation methods. (2) FSM instantiation. Often a FSM is used more than once in a system. To save resources, techniques can be applied to prevent unnecessary duplication of objects. (3) Data management. Transitions have side effects (actions) that change data in the system. This data has to be available for all the transitions in the FSM. In other words the variables that store the data have to be global. This poses maintenance issues.

2.1 FSM EVOLUTION

Like all software, Finite State Machine implementations are subject to change. In this section, we discuss several changes for a FSM and the impact that these changes have on the State pattern. Typical changes may be adding or removing states, events or transitions and changing the behavior (i.e. the actions). Ideally an implementation of a FSM should make it very easy to incorporate these modifications. Unfortunately, this is not the case for the State pattern. To illustrate FSM-evolution we changed our running example in the following way: we added a new State called Checking; we changed the transition from Collect to Collect in a transition from Collect to Checking: we added a transition from Checking to Collect. This also introduced a new event: notFull; we changed the transition from Collect to Full in a transition from Checking to Full. The resulting FSM is shown in figure 2.

The implementation of WrapAText using the State pattern is illustrated in figure 3. To do the changes mentioned

above the following steps are necessary: First a new subclass of WrapATextState needs to be created for the new State (CheckingState). The new CheckingState class inherits all the event methods from its superclass. Next the CollectState's feedChar method needs to be changed to set the State to CheckingState after it finishes. To change the source State of the transition between Collect and Full, the contents of the EOL (end of line) method in CollectState needs to be moved to the EOL method in CheckingState. To create the new transition from Checking to Collect a new method needs to be added to WrapATextState: not-Full(). The new method is automatically inherited by all subclasses. To let the method perform the transition its behavior will have to be overruled in the CheckingState class. The new method also has to be added to the Context class (making sure it delegates to the current state).

Code for a transition can be scattered vertically in the class hierarchy. This makes maintenance of transitions difficult since multiple classes are affected by the changes. Another problem is that methods need to be edited to change the target state. Editing the source State is even more difficult since it requires that methods are moved to another State class. Several classes need to be edited to add an event to the FSM. First of all the Context needs to be edited to support the new event. Second, the State super class needs to be edited to support the new event. Finally, in some State subclasses behavior for transitions triggered by the new event must be added.

We believe that the main cause for these problems is that the State pattern does not offer first-class representations for all the FSM concepts. Of all FSM concepts, the only concept explicitly represented in the State pattern is the State. The remainder of the concepts are implemented as methods in the State classes (i.e. implicitly). Events are represented as method headers, output events as method bodies. Entry and exit actions are not represented but can be represented as separate methods in the State class. The responsibility for calling these methods would be in the context where each method that delegates to the current State would also have to call the entry and exit methods. Since this requires some discipline of the developer it will probably not be done correctly.

Since actions are represented as methods in State classes, they are hard to reuse in other states. By putting states in a State class-hierarchy, it is possible to let related states share output events by putting them in a common superclass. But this way, actions are still tied to the State machine. It is very hard to use the actions in a different FSM (with different states). The other FSM concepts (events, transitions) are represented implicitly. Events are simulated by letting the FSM context call methods in the current State object. Transitions are executed by letting the involved methods change the current State after they are finished. The disadvantage of not having explicit representations of FSM concepts is that it makes translation between a FSM design and its implementation much more complex. Consequently, when the FSM design changes it is more difficult to synchronize the implementation with the design.

2.2 FSM INSTANTIATION

Sometimes it is necessary to have multiple instances of the same FSM running in a system. In the TCP protocol, for example, up to approximately 30000 connections can exist on one system (one for each port). Each of these connections has to be represented by its own FSM. The structure of the FSM is exactly the same for all those connections. The only unique parts for each FSM instance are the current State of each connection and the value of the variables in the context of the connection's FSM. It would be inefficient to just clone the entire State machine, each time a connection is opened. The number of objects would explode.

Also, a system where the FSM is duplicated does not perform very well because object creation is an expensive operation. In the TCP example, creating a connection requires the creation of approximately 25 objects (states, transitions), each with their own constructor. To solve this problem a mechanism is needed to use FSM's without duplicating all the State objects. The State pattern does not support this directly. This feature can be added, however, by combining the State pattern with the Flyweight pattern [1]. The Flyweight pattern allows objects to be shared between multiple contexts. This prevents that these objects have to be created more than once. To do this, all context specific data has to be removed from the shared objects' classes. We will use the term FSM-instantiation for the process of creating a context for a FSM. As a consequence, a context can also be called a FSM instance. Multiple instances of a FSM can exist in a system.

2.3 MANAGING DATA IN A FSM

Another issue in the implementation of FSMs is data storage. The actions in the transitions of a State machine perform operations on data in the system. These operations change and add variables in the context. If the system has to support FSM instantiation, the data has to be separated from the transitions, since this allows each instance to have its own data but share the transition objects with the other instances.

The natural place to store data in the State pattern would either be a State class or the context. The disadvantage of storing data in the State objects is that the data is only accessible if the State is also the current state. In other words: after a State change the data becomes inaccessible until the State is set as the current State again. Also this requires that each instance has its own State objects. Storing the data in the Context class solves both problems. Effectively the only class that needs to be instantiated is the Context class. If this solution is used, all data is stored in class variables of the Context class. Storing data in a central place generally is not a good idea in OO programming. Yet, it is the only way to make sure all transitions in the FSM have access to the same data. So this approach has two disadvantages: It enforces the central storage of data and to create a FSM a subclass of Context needs to be created (to add all the variables). This makes maintenance hard. In addition, it makes reuse hard, because the methods in State classes are dependent on the Context class and cannot be reused with a different Context class.

3 AN ALTERNATIVE

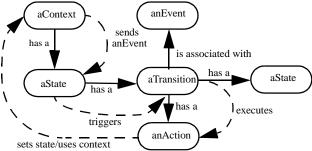


FIGURE 4. THE FSM FRAMEWORK'S COMPONENTS.

Several causes can be found for the problems with the State pattern: (1) The State pattern does not provide explicit representations (most are integrated into the state classes) for all the FSM concepts. This makes maintenance hard because it is not obvious how to translate a design change in the FSM to the implementation and a designchange may result in multiple implementation elements being edited. Also this makes reuse of behavior outside the FSM hard (2) The State pattern is not blackbox. Building a FSM requires developers to extend classes rather than to configure them. To do so, code needs to be edited and classes need to be extended rather than that the FSM is composed from existing components. (3) The inheritance hierarchy for the State classes complicates things further because transitions (and events) can be scattered throughout the hierarchy. Most of these causes seem to point at the lack of structure in the State pattern (structure that exists at the design level). This lack of structures causes developers to put things together in one method or class that should rather be implemented separately. The solution we will present in this section will address the problems by providing more structure at the implementation level.

3.1 CONCEPTUAL DESIGN

To address the issues mentioned in above we modeled the FSM concepts as objects. The implication of this is that most of the objects in the design must be sharable between FSM instances (to allow for FSM instantiation). Moreover, those objects cannot store any context specific data. An additional goal for the design was to allow blackbox configuration¹. The rationale behind this was that it should be possible to separate a FSM's structure from its behavior (i.e. transition actions or State entry/exit actions). In figure 4 the conceptual model of our FSM framework is presented. The rounded boxes represent the different components in the framework. The solid arrows indicate association relations between the components and the dashed arrows indicate how the components use each other.

Similar to the State pattern, there is a Context component that has a reference to the current state. The latter is represented as a State object rather than a State subclass in the State pattern. The key concept in the design is a transition. The transition object has a reference to the target State and an Action object. For the latter, the Command pattern [1] is used. This makes it possible to reuse actions

^{1.} Blackbox frameworks provide components in addition to the white box framework (abstract classes + interfaces). Components provide a convenient way to use the framework. Relations between blackbox components can be established dynamically.

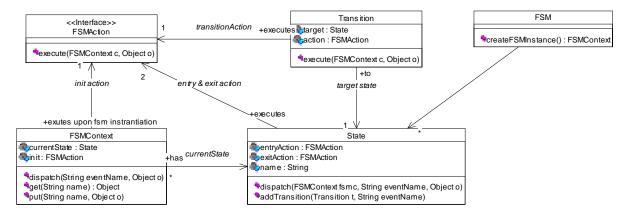


FIGURE 5. CLASS DIAGRAM FOR THE FSM FRAMEWORK

in multiple places in the framework. A State is associated with a set of transitions. The FSM responds to events that are sent to the context. The context passes the events on to the current state. The State maintains a list of transition, event pairs. When an event is received the corresponding transition is located and then executed (triggered). The transition object simply executes its associated action and then sets the target State as the current State in the context.

To enable FSM instantiation in an efficient way, no other objects than the context may be duplicated. All the State objects, event objects, transition objects and action objects are created only once. The implication of this is that none of those objects can store any context specific data (because they are shared among multiple contexts). When, however, an action object is executed (usually as the result of a transition being triggered), context specific data may be needed. The only object that can provide access to this data is the context. Since all events are dispatched to the current State by the context, a reference to the context can be passed along. The State in its turn, passes this reference to the transition that is triggered. The transition finally gives the reference to the action object. This way the Action object can have access to context specific data without being context specific itself.

A special mechanism is used to store and retrieve data from the context. Normally, the context class would have to be sub-classed to contain the variables needed by the actions in the FSM. This effectively ties those actions to the context class, which prevents reuse of those actions in other FSMs since this makes the context subclasses FSM specific. To resolve this issue we turned the context into an object repository. Actions can put and get variables in the context. Actions can share variables by referring to them under the same name. This way the variables do not have to be part of the context class. Initialization of the variables can be handled by a special action object that is executed when a new context object is created. Action objects can also be used to model State entry and exit actions.

3.2 AN IMPLEMENTATION

We have implemented the design described in the previous section as a framework [4] in Java. We have used the framework to implement the WrapAText example and to perform performance assessments (also see section 5). The core framework consists of only four classes and one

interface. In figure 5, a class diagram is shown for the framework's core classes. We'll shortly describe the classes here: (1) State. Each State has a name that can be set as a property in this class. State also provides a method to associate events with transitions. In addition to that, it provides a dispatch method to trigger transitions for incoming events. (2) FSMContext. This class maintains a reference to the current State and functions as an object repository for actions. Whenever a new FSMContext object is created (FSM instantiation), the init action is executed. This action can be used to pre-define variables for the actions in the FSM. (3) Transition. The execute method in is called by a State when an event is dispatched that triggers the transition. (4) FSM. This class functions as a central point of access to the FSM. It provides methods to add states, events and transitions. It also provides a method to instantiate the FSM (resulting in the creation and initialization of a new FSMContext object). (5) FSMAction. This interface has to be implemented by all actions in the FSM. It functions as an implementation of the Command pattern as described in [1].

4 A CONFIGURATION TOOL

In [5] a typical evolution path of frameworks is described. According to this paper, frameworks start as whitebox frameworks (just abstract classes and interfaces). Gradually components are added and the framework evolves into a black box framework. One of the later steps in this evolution path is the creation of configuration tools. Our FSM Framework consists of components thus creating the possibility of making such a configuration tool. A tool significantly eases the use of our framework. since developers only have to work with the tool instead of complex source code. As a proof of concept, we have built a tool that takes a FSM specification in the form of an XML document [6] as an input.

4.1 FSMS IN XML

In figure 6 an example of an XML file is given that can be used to create a FSM. In this file the WrapAText FSM in figure 1 is specified. A problem in specifying FSMs using XML is that FSMActions cannot be modeled this way. The FSMAction interface is the only whitebox element in our framework and as such is not suitable for configuration by a tool. To resolve this issue we developed a mechanism where FSMAction components are instantiated, config-

```
<?xml version="1.0"?>
       firststate="Empty" initaction="initAction.ser">
<fsm
<states>
    <Statename="Empty"/>
    <Statename="Collect" initaction="collectEntry.ser"/>
    <Statename="Full" initaction="fullEntry.ser"/>
</states>
<events>
    <event name="feedChar"/>
    <event name="EOL"/>
    <event name="release"/>
</events>
<transitions>
    <transition sourcestate="Empty" targetstate="Collect"
            event="feedChar" action="processChar.ser"/>
    <transition sourcestate="Collect" targetstate="Collect"
            event="feedChar" action="processChar.ser"/>
    <transition sourcestate="Collect" targetstate="Full"</p>
            event="EOL" action="skip.ser"/>
    <transition sourcestate="Full" targetstate="Empty"
                 event="release" action="reset.ser"
</transitions>
</fsm>
```

FIGURE 6. WRAPATEXT SPECIFIED IN XML

ured and saved to a file using serialization. The saved files are referred to from the XML file as .ser files. When the framework is configured the .ser files are descrialized and plugged into the FSM framework. Alternatively, we could have used the dynamic class-loading feature of Java. This would, however, prevent the configuration of any parameters the actions may contain.

4.2 CONFIGURING AND INSTANTIATING

The FSMGenerator, as our tool is called, parses a document like the example in figure 6. After the document is parsed, the parse tree can be accessed using the Document Object Model API that is standardized by the World Wide Web Consortium (W3C) [7]. After it is finished the tool returns a FSM object that contains the FSM as specified in the XML document. The FSM object can be used to create FSM instances. The DOM API can also be used to create XML. This feature would be useful if a graphical tool were developed.

Describing the WrapAText FSM in XML is pretty straightforward, as can be seen in figure 6. Most of the implementation effort is required for implementing the FSMAction objects. Once that is done, the FSM can be generated (at run-time) and used. Five serialized FSMAction objects are pre-defined. Since the FSM framework allows the use of entry and exit actions in states, they are used where appropriate. The processChar action is used in two transitions. This is where most of the work is done. The FSMAction uses the FSMContext to retrieve two variables (a counter and the line of text that is presently created) that are retrieved from the context. Also the Serializable interface is implemented to indicate that this class can be serialized.

5 ASSESSMENT

In section 2, we evaluated the implementation of finite State machines using the State pattern. This evaluation revealed a number of problems, based on which we developed an alternative approach. In this section we evaluate our approach with respect to maintenance and performance.

Maintenance. The same changes we applied in section 2.1

can be applied to the implementation of WrapAText in the FSM framework. We'll use the implementation as described in section 4 to apply the changes to. All of the changes are restricted to editing the XML document since the behavior as defined in the FSMActions remains more or less the same. To add the Checking state, we add a line to the XML file:

```
<State name="Checking"/>
```

Then we change the target State of the Collect to Collect transition by changing the definition in the XML file. We do the same for the Collect to Full transition. The new lines look like this:

```
<transition sourcestate="Collect" targetstate="Checking"
event="feedChar" action="processChar.ser"/>
<transition sourcestate="Checking" targetstate="Full"
event="EOL" action="skip.ser"/>
```

Then we add the transition from Checking to Collect:

Finally the entry action of Collect is moved to the Checking State by setting the initaction property in Checking and removing that property in Collect. Changing a FSM implemented in this style does not require any source editing (except for the XML file of course) unless new/different behavior is needed. In that case the changes are restricted to creating/editing FSMActions.

Performance. To compare the performance of the new approach in implementing FSMs to a traditional approach using the State pattern, we performed a test. The performance measurements showed that the FSM Framework was almost as fast as the State pattern for larger State machines but there is some overhead. The more computation is performed in the actions on the transitions that are executed, the smaller the performance gap. To do the performance measurements, the WrapAText FSM implementation was used. This is a very easy FSM to implement since most of the actions are quite trivial. Some global data has to be maintained: a String to collect received characters and a counter to count the characters. Two implementations of this FSM were created: one using the State Pattern and one using our FSM Framework presented earlier.

Several different measurements were performed. First, we measured the FSM as it was implemented. This measurement showed that the program spent most of its time switching State since the actions on the transitions are rather trivial. To make the situation more realistic loops were inserted into the transition actions to make sure the computation in the transitions actually took some time (more realistic) and the measurements were performed again. Four different measurements (see figure 7) were done: (I) Measuring how long it takes to process 10,000,000 characters. (II) The same as (I) but now with a 100 cycle for-loop inserted in the feedChar code. Each time a character is processed, the loop is executed. (III) The same as (II) with a 1000 cycle loop. (IV) The same as (II) with a 10000 cycle loop.

The loop ensures that processing a character takes some time. This simulates a real world situation where a transition takes some time to execute. In figure 7, a dia-

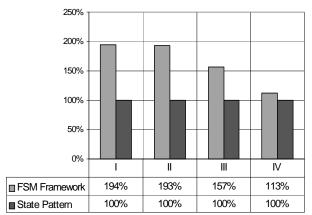


FIGURE 7. PERFORMANCE MEASUREMENTS

gram our measurements is shown. Each case was tested for both the State pattern and the FSM framework. For each test, the time to process the characters was measured. The bars in the graph illustrate the relative performance difference. Not surprisingly the performance gap decreases if the amount of time spent in the actions on a transition increases. The numbers show that a State transition in the FSM Framework (exclusive action) is about twice as expensive as in the State Pattern implementation for simple transitions. The situation becomes better if the transitions become more complex (and less trivial). The reason for this is that the more complex the transitions are the smaller the relative overhead of changing State is. This is illustrated by case IV where the performance difference is only 13%.

In general one could say that the State pattern is more efficient if a lot of small transitions take place in a FSM. The performance difference becomes negligible if the actions on the transitions become more computationally intensive. Consequently, for larger systems, the performance difference is negligible. Moreover since this is only a toy framework, the performance gap could be decreased further by optimizing the implementation of our framework. The main reason why State transitions take longer to execute is that the transition object has to be looked up in a hashtable object each time it is executed. The hashtable object maps event names to transitions.

6 RELATED WORK

State Machines in General. FSMs have been used as a way to model object-oriented systems. Important work in this context is that of Harel's Statecharts [2] and ObjChart [8]. ObjChart is a visual formalism for modeling a system of concurrently interacting objects and the relations between these objects. The FSMs that this formalism delivers are too fine-grained (single classes are modeled as a FSM) to implement using our FSM Framework. Rather our framework should be used for more coarse-grained systems where the complex structure is captured by a FSM and the details of the behavior of this machine are implemented as action objects. Most of these approaches seem to focus on modeling individual objects as FSMs rather than larger systems.

FSM Implementation. In the GoF book [1] the State pattern is introduced. In [9], Dyson and Anderson elaborate

on this pattern. One of the things they add is a pattern that helps to reduce the number of objects in situations where a FSM is instantiated more than once (essentially by applying the flyweight pattern). In [10], a complex variant of the State Pattern called MOODS is introduced. In this variant, the State class hierarchy uses multiple inheritance to model nested states as in Harel's Statecharts [2]. In [11], the State pattern is used to model the behavior of reactive components in an event centered architecture. Interestingly it is suggested that an event dispatcher class for the State machine can be generated automatically.

In [12] an implementation technique is presented to reuse behavior in State machines through inheritance of other State machines. The authors also present an implementation model that is in some ways similar to the model presented in this paper. Our approach differs from theirs in that it factors out behavior (in the form of actions). The remaining FSM is more flexible (it can be changed on the fly if needed). Our approach establishes reuse using a high level specification language for the State machine and by using action components, that are in principle independent of the FSM. Bosch [13] uses a different approach to mix FSMs with the object-orientation paradigm. Rather than translating a FSM to a OO implementation a extended OO language that incorporates states as first class entities is used. Yet another way of implementing FSMs in an objectoriented way is presented in [14]. The implementation modeled there resembles the State pattern but is a slightly more explicit in defining events and transitions. It still suffers from the problem caused by actions being integrated with the State classes. Also data management and FSM instantiation are not dealt with. The author also recognizes the need for a mapping between design (a FSM) and implementation like there is for class diagrams. This need is also recognized in [3], where several issues in implementing FSMs are discussed.

Event Dispatching. Event dispatching is rudimentary in the current version of our framework. A better approach can be found [15], where the Reactor pattern is introduced. An important advantage of the way events are modeled in our framework, however, is that they are blackbox components. The Reactor pattern would require one to make subclasses of some State class. A different approach would be to provide a number of default events as presented in [16], where the author classifies events in different groups.

Frameworks. A great introduction to frameworks can be found in [4]. In this thesis several issues surrounding object-oriented frameworks are discussed. A pattern language for developing frameworks can be found in [5]. One of the patterns that is discussed in this paper is the Black box Framework pattern which we used while creating our framework. Another pattern in this article, Language Tools, also applies to our configuration tool.

7 CONCLUSION

The existing State pattern does not provide explicit representations for all the FSM concepts. Programs that use it are complex and it cannot be used in a blackbox way. This makes maintenance hard because it is not obvious how to apply a design change to the implementation. Also support

for FSM instantiation and data management is not present by default. Our solution however, provides abstractions for all of the FSM concepts. In addition to that it supports FSM instantiation and provides a solution for data management that allows to decouple behavior from the FSM structure. The latter leads to cross FSM, reusable behavior.

The State pattern is not blackbox and requires source code to be written in order to apply it. Building a FSM requires the developer to extend classes rather than to configure them. Alternatively, our FSM Framework can be configured (with a tool if needed) in a blackbox way. Only FSMActions need to be implemented in our framework. The resulting FSMAction objects can be reused in other FSMs. This opens the possibility to make a FSMAction component library. Our approach has several advantages over implementing FSMs using the State pattern: States are no longer created by inheritance but by configuration. The same is the case for events. Also, the context can be represented by a single component. Inheritance is only applied where it is useful: extending behavior. Related actions can share behavior through inheritance. Also actions can delegate to other actions (removing the need for events supporting more than one action). States, actions, events and transitions now have explicit representations. This makes the mapping between a FSM design and implementation more direct and consequently easier to use. A tool could create all the event, State and context objects by simply configuring them. All that would be required from the user would be implementing the actions. It is possible to configure FSMs in a blackbox way. This can be automated by using a tool such as our FSMGenerator.

There are also some disadvantages compared to the original State pattern: The context repository object possibly causes a performance penalty compared to directly accessing variables, since variables need to be obtained from a repository. However a pretty efficient hashtable implementation is used. The measurements we performed showed that the performance gap with the State pattern decreases as the transitions become more complicated. Also it could be difficult to keep track of what's going on in the context. The context is simply a large repository of objects. All actions in the FSM read and write to those objects (and possibly add new ones). This can, however, be solved by providing tracing and debugging tools.

Future work. Our FSM framework can be extended in many ways. An obvious extension is to add conditional transitions. Conditional transitions are used to specify transitions that only occur if the trigger event occurs and the condition holds true. Though this clearly is a powerful concept, it is hard to implement it in a OO way. A possibility could be to use the Command pattern again to create condition objects with a boolean method but that would tie the conditions to the implementation thus they can't be specified at the XML level. To solve this problem a large number of standard conditions could be provided (in the form of components). A next step is to extend our FSM framework to support Statechart-like FSMs. Statecharts are normal FSMs + nesting + orthogonality + broadcasting events [2]. These extensions would allow developers to

specify Statecharts in our configuration tool, which then maps the statecharts to regular FSMs automatically. The extensions require a more complex dispatching algoritm for events. Such an extension could be used to make the State diagrams in OO modeling methods such as UML and OMT executable. Though performance is already quite acceptable, much of our implementation of the framework can be optimized. The bottlenecks seem to be the event dispatching mechanism and the variable lookup in the context. Our current implementation uses hashtables to implement these. By replacing the hashtable solution with a faster implementation, a significant performance increase is likely.

8 REFERENCES

- [1] E. Gamma, R. Helm, R. Johnson, J. Vlissides, "Design Patterns Elements of Reusable Object Oriented software", Addison Wesley, 1995.
- [2] D. Harel, "Statecharts: a Visual Approach to Complex Systems(revised)", report CS86-02 Dep. App Math's Weizman Inst. Science Rehovot Israel, March 1986.
- [3] F. Barbier, Henri Briand, B. Dano, S. Rideau, "The executability of Object Oriented Finite State Machines", *Journal of Object Oriented Programming*, July/August 1998.
- [4] M. Mattson, "Object-Oriented Frameworks A Survey of Methodological Issues", Department of computer science, Lund University, 1996.
- [5] D. Roberts, R Johnson, "Patterns for evolving frameworks", *Pattern Languages of Program Design 3* (p471-p486), Addison-Wesley, 1998.
- [6] http://www.w3c.org/XML/index.html.
- [7] http://www.w3c.org/index.html.
- [8] D. Gangopadhyay, Subrata Mitra, "ObjChart: Tangible Specification of Reactive Object Behavior", *Proceedings of ECOOP* '93, p432-457 July 1993.
- [9] P. Dyson, B. Anderson, "State Patterns", *Pattern Languages of Programming Design 3*, edited by Martin/Riehle/Buschmann Addison Wesley 1998
- [10] A. Ran, "MOODS: Models for Object-Oriented Design of State", *Pattern Languages of Program Design* 2, edited by Vlissides/Coplien/Kerth. Addison Wesley, 1996
- [11] A. Ran, "Patterms of Events", *Pattern Languages of Program Design*, edited by Coplien/Schmidt. Addison Wesley, 1995
- [12] A. Sane, R. Campbell, "Object Oriented State Machines: Subclassing Composition, Delegation and Genericity", *Proceedings of OOPSLA '95* p17-32, 1995.
- [13] J. Bosch, "Abstracting Object State", Object Oriented Systems, June 1995.
- [14] M. Ackroyd, "Object-oriented design of a finite State machine", *Journal of Object Oriented Programming*, June 1995.
- [15] D. C. Schmidt, "Reactor: An Object Behavior Pattern for Concurrent Event Demultiplexing and Event Handler Dispatching", *Pattern Languages of Program Design*, p529-546 edited by Coplien/Schmidt. Addison Wesley, 1995.
- [16] J. J. Odell, "Events and their specification", *Journal of Object Oriented Programming*, July/August 1994.